မြို့ Express Mail Number: <u>EL896634928US</u>

Docket No. B-4238 618932-3

Date: July 13, 2001



Commissioner of Patents and Trademarks Box New Patent Application Washington, D.C. 20231

Sir:

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s):

(1) Hao-Chih CHEN

NOTE:

Patent must be applied for in the name of all

of the actual inventor or inventors.

For:

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"BACKLIGHT UNIT FOR A LIQUID CRYSTAL DISPLAY"

Enclosed are:

1. The Papers Required For Filing Date Under 37 CFR 1.53(b):

8 Pages of specification 1 Page of abstract 3 Pages of claims

<u>5</u> Sheets of drawings [X] formal [] informal (Figs. 1A-1B, 2-3, 4A-4C, 5A-5C)

[X] In addition to the above papers there is also attached

Postcard

Check for filing fee in the amount of \$710.00 Declaration/Power of Attorney (3 pages)

Assignment Cover Sheet (1 page), Assignment document (1 page),

and Check for \$40.00

Claim to Priority (1 Page)

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this paper and the documents referred to as enclosed therein are being deposited with the United States Postal Service in an Express Mail envelope with sufficient postage for Express Mailing on this date $\underline{\text{July }13,\ 2001}$ in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number $\underline{\text{EL896634928US}}$ addressed to the:

Commissioner of Patents and Trademarks Box New Patent Application Washington, D.C. 20231

Cheryl Liang

(Typed or printed name of person mailing paper)

Signature of person mailing paper)

NOTE: <u>Each</u> paper or fee referred to as enclosed herein has the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 CFR 1.10(b).

Application transmittal - Page 1 of 3

Declaration or oath

[X] Enclosed

[X] original

[] facsimile

executed by:

- [X] inventor(s)
- [] legal representative of inventor(s) 37 CFR 1.42 or 1.43
- [] joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be 37 CFR 1.47. reached.
- [] petition and statement required by 37 CFR 1.47 also attached. See item 7 below for fee.

[] Not Enclosed

- [] Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all of the above named inventor(s). The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently.
- [] Showing that the filing is authorized. (Not required unless called into question. 37 CFR 1.41(d)).

NOTE: Where the filing is a completion in the U.S. of an international application under 35 U.S.C. 371(c)(4) then the declaration <u>must</u> be filed.

. Assignment

4.[] 4.[]

(")

Party Special

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[X] An assignment of the invention to

Acer Display Technology, Inc. (with separate cover sheet and separate check for \$40.00)

4. Certified Copy

[] A certified copy of Application from which priority is claimed.

NOTE: Must be referred to in oath or declaration. 37 CFR 1.55 and 163.

5. Fee Calculation

	CLAIMS	AS	FILED					
Number Filed			Number Extra		Rate	Basic Fee \$ 710.00		
Total Claims	9-20=				0 x	\$ 18.00	0	
Independent Claims	1-3=				0 x	\$ 80.00	0	
Multiple Dependent Claim(s), If Any					0 x	\$ 270.00		0

- [] Amendment canceling extra claims enclosed
- [] Amendment deleting multiple dependencies enclosed
- [] Fee for extra claims is not being paid at this time

If the fee for extra claims are not paid on filing they must be paid or the claims canceled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency, 37 CFR 1.16(d).

Filing Fee Calculation \$ 710.00

6. Small Entity Statement					
[] Verified statement that this is a filing by a small under 37 CFR 1.9 and 1.27.	entity				
Filing Fee Calculation (50% of above)	5				
NOTE: If a verified statement is filed within 2 months of of payment of first fee then the excess fee paid wi on request. Notice of January 20, 1983. 1027 TMOG	ll be refunded				
7. Fee Payment Being Made At This Time					
[] Not Enclosed					
[] No filing fee is submitted. This and the surcharge 37 CFR 1.16(e) can be paid subsequently.	required by				
NOTE: Where the filing is a completion in the U.S. of an application the fee <u>must</u> be paid.	international				
[X] Enclosed					
[X] filing fee	\$ <u>710.00</u>				
[] recording assignment (\$40.00; 37 CFR 1.21(h)(i))	\$				
[] petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. 37 CFR 1.47 and 1.17 (h)	<u> </u>				
Total fees enclosed	\$ 710.00				
(i) 8. Method of Payment of Fees					
the state of \$\frac{710.00}{200}\$					
[] charge account No. <u>12-0415</u> in the amount of \$ A duplicate of this transmittal is attached.					
NOTE: Fees should be itemized is such a manner that it is which purpose the fees are paid. 37 CFR 1.22(b).	clear for				
9. Authorization to Charge Additional fees					
[X] The Commissioner is hereby authorized to charge the additional fees which may be required to Account No	following . <u>12-0415</u> :				
[X] 37 CFR 1.16 (filing fees and presentation of extra claims)					
[X] 37 CFR 1.17 (application processing fees)					
[] 37 CFR 1.18 (issue fee at or before Mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))					
NOTE: 37 CFR 1.28(b) requires "Notification of any change entitlement to small entity status must be filed in applicationprior to paying issue fee".	in loss of the				
10. Instructions As To Overpayment					
[X] Credit Account No. <u>12-0415</u> [] refund					
c/o LADAS & PARRY 5670 Wilshire Boulevard Suite 2100 Los Angeles, California 90036-5679 Richard P. Berg					

Telephone: Telefax: (323) 934-2300 (323) 934-0202

Attorney Reg. No. 28,145